

12/21/01  
JC931 U.S. PTO

12-27-01

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PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
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|--|--|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small> | Attorney Docket No.                      | 120 01530 US  |
|  | First Inventor or Application Identifier | Michael J. Emery et al.   |
|  | Title                                    | METHOD AND APPARATUS FOR RETRIEVING TIME SERIES DATA RELATED TO AN ACTIVITY |
|  | Express Mail Label No.                   | EL597435854US   |

|  |  |
|--|--|
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents.</small> | <b>ADDRESS TO:</b><br>Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231 |
|--|--|

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|--|--|
| <p>1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17)<br/><small>(Submit an original and a duplicate for fee processing)</small></p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages <input ]<br="" type="text" value="27"/><small>(preferred arrangement set forth below)</small></p> <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input ]<="" p="" type="text" value="11"/><p>4. Oath or Declaration [Total Pages <input ]<="" p="" type="text" value="4"/><p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p><p>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))<br/><small>(for continuation/divisional with Box 16 completed)</small></p><p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br/><small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</small></p></p></p> | <p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
| <b>ACCOMPANYING APPLICATION PARTS</b>  |  |
| <p>7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney<br/><small>(when there is an assignee)</small></p> <p>9. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/><small>(Should be specifically itemized)</small></p> <p>13. <input type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>15. <input checked="" type="checkbox"/> Other: Certificate of Mailing</p>  |  |

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

|   |  |   |              |          |              |
|---|--|---|--------------|----------|--------------|
| <b>17. CORRESPONDENCE ADDRESS</b>                                     |  |   |              |          |              |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label |  | <input type="checkbox"/> Correspondence address below |              |          |              |
| 000-128   |  | (Insert Customer No. or Attach bar code label here)   |              |          |              |
| Name  | Andrew A. Abeyta                                     |   |              |          |              |
|   | Honeywell International Inc. PATENT TRADEMARK OFFICE |   |              |          |              |
| Address   | 101 Columbia Road                                    |   |              |          |              |
|   | POB 2245   |   |              |          |              |
| City  | Morristown   | State   | NJ           | Zip Code | 07962        |
| Country   | U.S.A.   | Telephone   | 602-313-5683 | Fax      | 602-313-4559 |

|                   |                  |                                   |          |
|-------------------|------------------|-----------------------------------|----------|
| Name (Print/Type) | Andrew A. Abeyta | Registration No. (Attorney/Agent) | 39,582   |
| Signature         |                  | Date                              | 12/21/01 |

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**FEE TRANSMITTAL  
For FY 2001**

Patent fees are subject to annual revision.

**COMPLETE IF KNOWN**

|                      |                         |
|----------------------|-------------------------|
| Application No.      | T.B.D.                  |
| Filing Date          | December 21, 2001       |
| First Named Inventor | Michael J. Emery et al. |
| Group Art Unit       | T.B.D.                  |
| Examiner Name        | T.B.D.                  |
| Attorney Docket No.  | I20-01530 US            |

**TOTAL AMOUNT OF PAYMENT** \$1,478.00**METHOD OF PAYMENT (check one)**1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:Deposit Account Number **01-1125**Deposit Account Name **Honeywell International Inc.**☒ Charge any Additional Fee Required Under 37 CFR §§1.16 & 1.172. ☒ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code | Large Entity Fee \$ | Small Entity Fee Code | Small Entity Fee \$ | Fee Description        | Fee Paid        |
|-----------------------|---------------------|-----------------------|---------------------|------------------------|-----------------|
| 101                   | 740                 | 201                   | 370                 | Utility Filing Fee     | 740             |
| 106                   | 330                 | 206                   | 165                 | Design Filing Fee      |                 |
| 107                   | 510                 | 207                   | 255                 | Plant Filing Fee       |                 |
| 108                   | 740                 | 208                   | 370                 | Reissue Filing Fee     |                 |
| 112                   | 160                 | 214                   | 80                  | Provisional Filing Fee |                 |
| <b>SUBTOTAL (1)</b>   |                     |                       |                     |                        | <b>\$740.00</b> |

**2. EXTRA CLAIM FEES**

| Total Claims              | Extra Claims | Fee from below | Fee Paid |
|---------------------------|--------------|----------------|----------|
| 33                        | 20 =         | 13             | 18.00    |
| 9                         | 3 =          | 6              | 84.00    |
| Multiple Dependent Claims | 0 =          | 0              | 280.00   |

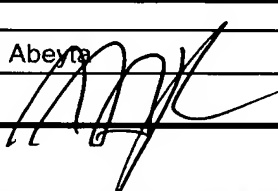
| Large Entity Fee Code | Large Entity Fee \$ | Small Entity Fee Code | Small Entity Fee \$ | Fee Description   | Fee Paid        |
|-----------------------|---------------------|-----------------------|---------------------|---|-----------------|
| 103                   | 18                  | 203                   | 9                   | Claims in excess of 20                                  |                 |
| 102                   | 84                  | 202                   | 42                  | Independent claims in excess of 3                       |                 |
| 104                   | 280                 | 204                   | 140                 | Multiple dependent claim                                |                 |
| 109                   | 84                  | 209                   | 42                  | Reissue independent claims over original patent         |                 |
| 110                   | 18                  | 210                   | 9                   | Reissue claims in excess of 20 and over original patent |                 |
| <b>SUBTOTAL (2)</b>   |                     |                       |                     |   | <b>\$738.00</b> |

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity Fee Code     | Large Entity Fee \$ | Small Entity Fee Code | Small Entity Fee \$ | Fee Description  | Fee Paid        |
|---------------------------|---------------------|-----------------------|---------------------|--|-----------------|
| 105                       | 130                 | 205                   | 65                  | Surcharge - late filing fee or oath  |                 |
| 127                       | 50                  | 227                   | 25                  | Surcharge - late provisional filing fee or cover sheet                     |                 |
| 139                       | 130                 | 139                   | 130                 | Non-English specification  |                 |
| 147                       | 2,520               | 147                   | 2,250               | For filing a request for <i>ex parte</i> reexamination                     |                 |
| 112                       | 920*                | 112                   | 920*                | Requesting publication of SIR prior to Examiner action                     |                 |
| 113                       | 1,840*              | 113                   | 1,840*              | Requesting publication of SIR after Examiner action                        |                 |
| 115                       | 110                 | 215                   | 55                  | Extension for reply within first month                                     |                 |
| 116                       | 400                 | 216                   | 200                 | Extension for reply within second month                                    |                 |
| 117                       | 920                 | 217                   | 460                 | Extension for reply within third month                                     |                 |
| 118                       | 1,440               | 218                   | 720                 | Extension for reply within fourth month                                    |                 |
| 128                       | 1,960               | 228                   | 980                 | Extension for reply within fifth month                                     |                 |
| 119                       | 320                 | 219                   | 160                 | Notice of Appeal   |                 |
| 120                       | 320                 | 220                   | 160                 | Filing a brief in support of an appeal                                     |                 |
| 121                       | 280                 | 221                   | 140                 | Request for oral hearing   |                 |
| 138                       | 1,510               | 138                   | 1,150               | Petition to institute a public use proceeding                              |                 |
| 140                       | 110                 | 240                   | 55                  | Petition to revive - unavoidable   |                 |
| 141                       | 1,280               | 241                   | 640                 | Petition to revive - unintentional   |                 |
| 142                       | 1,280               | 242                   | 640                 | Utility issue fee (or reissue)   |                 |
| 143                       | 460                 | 243                   | 230                 | Design issue fee   |                 |
| 144                       | 620                 | 244                   | 310                 | Plant issue fee  |                 |
| 122                       | 130                 | 122                   | 130                 | Petitions to the Commissioner  |                 |
| 123                       | 50                  | 123                   | 50                  | Processing fee under 37 CFR 1.17(q)  |                 |
| 126                       | 180                 | 126                   | 180                 | Submission of Information Disclosure Statement                             |                 |
| 581                       | 40                  | 581                   | 40                  | Recording each patent assignment per property (times number of properties) |                 |
| 146                       | 740                 | 246                   | 370                 | Filing a submission after final rejection (37 CFR 1.129(a))                |                 |
| 149                       | 740                 | 249                   | 370                 | For each additional invention to be examined (37 CFR 1.129(b))             |                 |
| 179                       | 740                 | 279                   | 3370                | Request for Continued Examination (RCE)                                    |                 |
| 169                       | 900                 | 169                   | 900                 | Request for expedited examination of a design application                  |                 |
| 195                       | 300                 | 195                   | 300                 | Publication fee  |                 |
| Other fee (specify) _____ |                     |                       |                     |  |                 |
| <b>SUBTOTAL (3)</b>       |                     |                       |                     |  | <b>\$ - 0 -</b> |

\* Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

|                     |   |                                   |              |                          |
|---------------------|---|-----------------------------------|--------------|--------------------------|
| Name (Print / Type) | Andrew A. Abeyta  | Registration No. (Attorney/Agent) | 39,582       | Complete (if applicable) |
| Signature           |  | Telephone                         | 602-313-3345 | Date                     |
|                     |   |                                   |              | December 21, 2001        |

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Docket No. I20 01530 US

Serial No. t. b. d.

Filed 12/21/2001

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1. Utility Patent Application Transmittal;
2. Fee Transmittal for FY 2001, two copies;
3. Patent Application, twenty-seven pages;
4. Informal drawings, eleven pages;
5. Declaration for Utility or Design Patent Application, four pages, unexecuted;
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